

07/22/99
Jc674 U.S. PTO

FORM PTO-1082

008708-D7024
Express Mail Label No. EL225777003US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Jc511 U.S. PTO
09/358755
07/22/99

Re application of:

Hiroshi Kawabata; H. Phillip Koeffler

Serial No: --

Filed: --

For: Nucleic Acids Encoding Transferrin Receptor-Like
Proteins and Products Related Thereto

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 10 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.
- ☒ 41 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☒ An assignment of the invention to Cedars-Sinai Medical Center ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 & 1.27 is enclosed.
- ☒ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☐ A certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. § 119 is enclosed.
- ☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☒ Verified Statement Concerning Sequence Listing
- ☐ IDS enclosed (☐ with references).
- ☐ Preliminary Amendment is enclosed.

CALCULATION OF FEES								
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	23	-20	3	LG=\$18 SM=\$9	\$9	\$ 27	
B	INDEPENDENT CLAIMS FEE*	4	-3	1	LG=\$78 SM=\$39	\$39	\$ 39	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							\$ 66
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$260 SMALL ENTITY FEE = \$130		\$ 0	
E	BASIC FEE				LARGE ENTITY FEE = \$760 SMALL ENTITY FEE = \$380		\$ 380	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 446
G	ASSIGNMENT RECORDING FEE						\$ 40	\$
	*LIST INDEPENDENT CLAIMS 1, 12, 15, 21							

- ☒ A check in the amount of \$ 446 to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ to cover Assignment Recordation fee is enclosed.


☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. **A copy of this sheet is enclosed.**

- ☒ Any additional filing fees required under 37 C.F.R. § 1.16
☐ Any patent application processing fees under 37 C.F.R. § 1.17

Respectfully submitted,
LOEB & LOEB LLP

Date: July 22, 1999

By: _____


Wei-Ning Yang
Registration No. 38,690
Attorney for Applicant(s)

10100 Santa Monica Blvd., 22nd Floor
Los Angeles, California 90067-4164
Telephone: 310-282-2000
Facsimile: 310-282-2192

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi Kawabata et al.

Serial No: --

Filed: --

For: Nucleic Acids Encoding Transferrin
Receptor-Like Proteins and Products
Related Thereto

Art Unit: --

Examiner: --

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL225777003US

Date of Deposit: July 22, 1999

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ check in amount of \$ 446 as filing fee
- ☒ patent application (41 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 10 sheet(s) of informal drawings
- ☒ Verified Statement Concerning Sequence Listing
- ☒ Submission of "Sequence Listing," computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

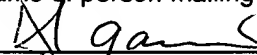
Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231.

Date: July 22, 1999

10100 Santa Monica Blvd., 22nd Floor
Los Angeles, California 90067-4164
Telephone: 310-282-2000
Facsimile: 310-282-2192

Al Gamino

Name of person mailing papers


Signature